

J1051 U.S. PTO
01/15/02

PATENT
ATTORNEY DOCKET NO.: 051538-5001-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

JCE25 U.S. PTO
10/044967
01/15/02

PRIOR APPLICATION: Examiner: Jon Weber, Ph.D.
Group Art Unit: 1651

**CONTINUATION, DIVISIONAL, AND CONTINUATION-IN-PART
PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53(b)**

This is a request for filing a patent application under 37 C.F.R. § 1.53(b).

1. This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-Part patent application under 37 C.F.R. § 1.53(b), of pending prior application no. 09/480,993, filed on January 11, 2000, which claims priority to U.S. Provisional Application Nos.: 60/115,340 filed January 11, 1999 and 60/145,422 filed July 23, 1999 of:

Inventor: Kevan M. SHOKAT

For: HIGH AFFINITY INHIBITORS FOR TARGET VALIDATION AND USES
THEREOF

2. The papers enclosed are as follows:

131 Page(s) of specification including
0 Title Page
7 Page(s) of claims
7 Page of abstract
33 Sheet(s) of drawings

3. Amendments

For continuation and divisional applications:

- ☒ Cancel in this application original claims 7-34, 36-50, 53-60 in the enclosed copy of prior application before calculating the filing fee. **[At least one original claim must be retained for filing purposes.]**
- ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

4. Oath or Declaration

For continuation or divisional applications:

- ☐ A newly executed (original or copy) oath or declaration is enclosed.
- ☒ A copy of an oath or declaration from a prior application is enclosed under 37 C.F.R. § 1.63(d). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ A signed statement deleting inventor(s) named in the prior application is enclosed.

For continuation-in-part applications:

- ☐ A newly executed (original or copy) oath or declaration is enclosed.
- ☐ An oath or declaration is not enclosed. This application is being filed under 37 C.F.R. § 1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the declaration and paying the filing fees.

5. Relate Back - 35 U.S.C. § 120

- ☒ Amend the specification by inserting before the first line the sentence:

“This is a ☐ continuation ☒ divisional ☐ continuation-in-part of copending application(s)

☒ Application No. 09/480,933 filed on January 11, 2000.”

☐ International Application No. _____ filed on _____ and which designated the U.S.”

[Reference must be made to each application in the chain of applications being relied upon, including the parent application and any application(s) from which it depends.]

6. Priority - foreign applications under 35 U.S.C. § 119(a)-(d) or § 365(b) or PCT international applications under 35 U.S.C. § 365(a) designating at least one country other than the U.S.

☐ Priority of the following foreign application(s) is/are claimed:

Country	Application No.	Filed

Certified copy(ies): ☐ is/are attached.
☐ will follow.
☐ was/were filed in prior U.S. Application No. _____ on _____.

7. Assignment

For continuation or divisional applications:

☒ The prior application is assigned of record to Princeton University, recorded January 11, 2000 at Reel/Frame 010491/0858.

☐ An assignment of the invention to _____ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

For continuation-in-part applications:

☐ An assignment of the invention to _____ and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

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8. Fee Calculation (37 C.F.R. § 1.16)

	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$740.00 Design \$330.00
			BASIC FEE	740.00
Total Claims (37 C.F.R. § 1.16(c))	9 - 20 =	0	18.00	
Independent Claims (37 C.F.R. § 1.16(b))	2 - 3 =	0	84.00	0
Multiple dependent claim(s), if any (37 C.F.R. § 1.16(d))			280.00	
			SUB-TOTAL =	740.00
			Reduction by 1/2 for filing by a small entity	370.00
			TOTAL FILING FEE =	\$370.00

9. Fee Payment

☒ The Commissioner is authorized to charge \$370.00 for a small entity filing fee to Deposit Account No. 50-0310.

10. ☒ The power of attorney in the prior application is to at least one of the registered practitioners of Morgan, Lewis & Bockius LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all correspondence shall be addressed to that Customer Number.

Please address all correspondence to Morgan, Lewis & Bockius LLP at **Customer Number: 09629**

11. ☐ Recognize as associate attorney _____
(name, address, and registration no.)

12. [X] **PETITION FOR EXTENSION OF TIME.** If any extension of time is necessary for the filing of this application, including any extension in the prior application, application no. 09/480,993, filed January 11, 2000, for the purpose of maintaining copendency between the prior application and the present application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to Deposit Account No. 50-0310.
13. [X] **EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §' 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be an **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).
14. Additional papers enclosed:
- [X] Information Disclosure Statement
 - [X] Form PTO-1449, 37 references included
 - [X] Request to Transfer Sequence Listing

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

By: 

Sally P. Teng
Reg. No. 45,397

Dated: January 15, 2002

Customer No. 09629
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202-467-7000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kevan M. SHOKAT)
) Group Art Unit: 1651
Prior Application Serial No. 09/480,993)
)
Prior Application Filing Date: January 11, 2000) Examiner: Jon Weber, Ph.D.
)
For: HIGH AFFINITY INHIBITORS FOR TARGET)
VALIDATION AND USES THEREOF)

Commissioner for Patents
Washington, DC 20231

REQUEST TO TRANSFER SEQUENCE LISTING

Applicants respectfully submit that the computer readable form of the sequence listing required in the above identified application is identical to that filed in (parent) Application No. 09/480,993, filed January 11, 2000.

In accordance with 37 CFR 1.821(e), Applicants request that the only computer readable form filed in the parent application be used for the instant application. It is understood that the Patent and Trademark Office will make the necessary changes regarding the application number and filing date for the computer readable form that will be used for the instant application.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Date: January 15, 2002

By: Sally P. Teng
Sally P. Teng
Reg. No. 45,397

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